



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:  
Tadao NAKAYA, et al.

Application No.: 10/532,974

Confirmation No.: 9131

Filed: April 28, 2005

Art Unit: 1745

For: LUMINESCENT POLYMERS AND  
LUMINESCENT ELEMENTS

Examiner: Not Yet Assigned

Attention: Office of Initial Patent Examination's  
Customer Service Center

VIA FACSIMILE: 703-746-9195

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Also enclosed is a copy of the Declaration.
2. There is an error with respect to the following, which is incorrectly entered.

**Error in**

**Correct data**

1. 2<sup>nd</sup> & 4<sup>th</sup> Applicant's address incorrect
2. The correction is due to an error by the USPTO. If any fee is due, please charge Deposit Account No. 18-0013.

1. Michiaki Tobita, Kanagawa, JAPAN
2. Toshihiro Kodera, Kanagawa, JAPAN

Dated: January 11, 2006

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## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/532,974	04/28/2005	1745	900	NFA-0211	31	4	1

CONFIRMATION NO. 9131

23353

RADER FISHMAN & GRAUER PLLC  
 LION BUILDING  
 1233 20TH STREET N.W., SUITE 501  
 WASHINGTON, DC 20036

## FILING RECEIPT



\*OC000000016994684\*

Date Mailed: 09/15/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tadao Nakaya, Tokyo, JAPAN;  
 Michiaki Tobita, ~~Tokyo~~, JAPAN; *Kanagawa*  
 Naonobu Eto, Kanagawa, JAPAN;  
 Toshihiro Kodera, ~~Tokyo~~, JAPAN; *Kanagawa*

Power of Attorney: The patent practitioners associated with Customer Number 23353.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/13597 10/24/2003

## Foreign Applications

JAPAN 2002-315029 10/29/2002

Projected Publication Date: 12/22/2005

Non-Publication Request: No

Early Publication Request: No

Title

Luminescent polymers and ~~light emitting devices~~**luminescent elements****Preliminary Class**

430

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,974	Tadao Nakaya	NFA-0211

INTERNATIONAL APPLICATION NO.
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PCT/JP03/13597

LA. FILING DATE	PRIORITY DATE
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10/24/2003

10/29/2002

23353

RADER FISHMAN & GRAUER PLLC  
 LION BUILDING  
 1233 20TH STREET N.W., SUITE 501  
 WASHINGTON, DC 20036

CONFIRMATION NO. 9131

371 ACCEPTANCE LETTER



\*OC000000016994685\*

Date Mailed: 09/15/2005

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

04/28/200504/28/2005

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 04/28/2005
- English Translation of the IA filed on 04/28/2005
- Copy of the International Search Report filed on 04/28/2005
- Copy of IPE Report filed on 04/28/2005
- Copy of Annexes to the IPER filed on 04/28/2005
- Copy of Article 19 Amendments filed on 04/28/2005
- Information Disclosure Statements filed on 04/28/2005
- Oath or Declaration filed on 04/28/2005
- Request for Immediate Examination filed on 04/28/2005
- U.S. Basic National Fees filed on 04/28/2005
- Assignment filed on 04/28/2005

- Priority Documents filed on 04/28/2005

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)

Docket No. \_\_\_\_\_

RADER, FISHMAN & GRAUER, PLLC

## Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) Luminescent Polymers and Luminescent Elements

the specification of which is attached hereto unless the following box is checked:

☒ was filed on 24 October 2003 As PCT International Application  
Number PCT/JP03/13597 and was amended on 24 February 2004  
and/or was filed on \_\_\_\_\_ As U.S. Patent Application  
Number \_\_\_\_\_ and was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. '1.56.

I hereby claim foreign priority benefits under 35 U.S.C. '119(a)-(d) or '365(b) of any foreign application(s) for patent or inventor's certificate, or '365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications)	<u>2002-315029</u> (Number)	<u>JAPAN</u> (Country)	<u>29/10/2002</u> (Day/Month/Year Filed)	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. '119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. '120 of any United States application(s) or '365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. '112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. '1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)

And I hereby appoint the firm of Rader, Fishman & Grauer, PLLC, Customer Number 23353 including as principal attorneys: Richard D. Grauer, Reg. No. 22,388; David T. Nikaido, Reg. No. 22,663; Ronald P. Kananen, Reg. No. 24,104; Ralph T. Rader, Reg. No. 28,772; Carl Schaukowitch, Reg. No. 29,211; Michael D. Fishman, Reg. No. 31,951; Michael B. Stewart, Reg. No. 36,018; Alexander D. Rabinovich, Reg. No. 37,425; Kevin D. Rutherford, Reg. No. 40,412; Glenn E. Forbis, Reg. No. 40,610; Lee Cheng, Reg. No. 40,949; Kristin L. Murphy, Reg. No. 41,212; Robert S. Green, Reg. No. 41,800; James F. Kamp, Reg. No. 41,882; Brian K. Dutton, Reg. No. 47,255; Shawn B. Cage, Reg. No. 51,522

Please direct all communications to the following address: RADER, FISHMAN & GRAUER, PLLC  
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Facsimile No. (202) 955-3751

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Tadao NAKAYA

Inventor' s

signature

Tadao Nakaya

March 18, 2005

Date

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Citizenship

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Full name of second inventor

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Inventor' s

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